



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Hack**

Serial No.: **10/763,095**

Filed: **January 22, 2004**

**For: Method and Apparatus for
Managing Power and Thermal Alerts
Transparently to an Operating
System in a Data Processing System
with Increased Granularity in
Reducing Power Usage and Thermal
Generation**

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Group Art Unit: **2186**

Examiner: **Unknown**

Attorney Docket No.: **AUS920031029US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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By:

Louise Fay

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

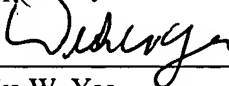
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- Information Disclosure Statement;
- Form PTO-1449; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hack

Serial No: **10/763,095**

Filed: **January 22, 2004**

Title: **Method and Apparatus for Managing Power and Thermal Alerts Transparently to an Operating System in a Data Processing System with Increased Granularity in Reducing Power Usage and Thermal Generation**

Group Art Unit: **2186**

Intellectual Property
Law Department
International Business
Machines Corporation
11400 Burnet Road
Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

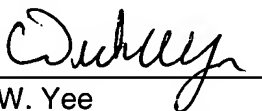
While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

No item of information cited in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,


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Substitute for form 1449/PTO

Complete if Known

Application Number	10/763,095
Filing Date	01-22-2004
First Named Inventor	Hack
Examiner Name	Unknown
Art Unit	2186
Attorney Docket No.	AUS920031029US1

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	7,036,009	04-2006	Hiramoto	
AB	6,901,522	05-2005	Buch	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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